

Exhibit 3

Exhibit 3
Non-Compliant Responses

ID	Party	Claim #	Account Name	Account #	Law Firm	Docket # of Response to Customer Motion	Date of Response to Customer Motion	Reason for Non-Compliance
1	SANDRA BUSEL REV TRUST; JOEL BUSEL TRUSTEE	008940	SANDRA BUSEL REV TRUST	180904	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
2	JOEL BUSEL REV TRUST; SANDRA BUSEL TRUSTEE	008941	JOEL BUSEL REV TRUST	180950	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
3	JOSEPH HANSON	003024	RYE SELECT BROAD MARKET PRIME FUND, LP	1C1260	PRO SE, FILING	2578	7/9/2010	DID NOT OBJECT TO TRUSTEE'S DETERMINATION
4	KATHRYN M. QUIGLEY	003504	RYE SELECT BROAD MARKET PRIME FUND, LP	1C1260	PRO SE, FILING	2521	7/6/2010	DID NOT OBJECT TO TRUSTEE'S DETERMINATION
5	DONALD A BENJAMIN	000141	DONALD A BENJAMIN	1CM006	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
6	ALAN H ROSENTHAL & LINDA S ROSENTHAL TEN BY THE ENTIRETY	005114	ALAN H ROSENTHAL	1CM246	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
7	LINDA S WALDMAN	000569	LINDA S WALDMAN	1CM300	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
8	ARMAND LINDEBAUM	008392	ARMAND LINDEBAUM	1CM304	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
9	NTC & CO. FBO DONALD A BENJAMIN	0000980	NTC & CO.	1CM402	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
10	THE ALLEN FAMILY TRUST DATED 11/30/89	0001105	THE ALLEN FAMILY TRUST	1CM406	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
11	NTC & CO. FBO PAUL ALLEN (47025)	0001106	NTC & CO.	1CM407	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
12	ROBERT F FERBER	011327	ROBERT F FERBER	1CM524	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
13	DAVID A WINGATE	009781	DAVID A WINGATE	1CM581	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
14	TRACY D KAMENSTEIN	000189	TRACY D KAMENSTEIN	1CM596	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
15	SLOAN G KAMENSTEIN	000177	SLOAN G KAMENSTEIN	1CM597	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
16	MARTIN LIFTON	009484	MARTIN LIFTON	1CM649	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
17	ERIC F. SALZMAN, GENERAL PARTNER OF BLACK RIVER ASSOCIATES LP	006048	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
18	EVNS TRUST	013707	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
19	JOAN SALTMAN	013708	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
20	MARIAN SALTMAN	013709	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
21	BLUE RIVER ASSOCIATES LP	013710	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
22	SALTZMAN GROUP	013711	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
23	MBS TRUST	013712	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
24	ROBERT SALTMAN	013713	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
25	MBS UNITRUST	013714	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
26	MARIAN SALTMAN 76 TRUST	013715	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
27	ROBERT SALTMAN 76 TRUST	013716	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
28	XYLON SALTMAN 76 TRUST	013717	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
29	GABRIEL SALTMAN 76 TRUST	013718	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
30	IAN SALTMAN TRUST	013719	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
31	MICHAEL SALTMAN TRUST	013720	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
32	SALTY PARTNERS	013721	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
33	SALTZMAN FOUNDATION	013722	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
34	CHLOE SALTMAN 80 TRUST	013723	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
35	CHLOE SALTMAN 81 TRUST	013724	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
36	SAIN PARTNERS	013725	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
37	SACE PARTNERS	013726	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS

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38	ERIC SALTZMAN	013727	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
39	ARNOLD SALTZMAN	013728	BLACK RIVER ASSOCIATES LP	1CM795	BECKER, GLYNN, MELAMED & MUFFLY LLP	2628	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
40	CAROL KAMENSTEIN	000184	CAROL KAMENSTEIN	1CM914	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
41	CHATMAN/SCHWEIBL LLC	000401	CHATMAN/SCHWEIBL LLC	1CM921	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
42	ROGER M PESKIN AND DIANE PESKIN JT WROS	0003301	ROGER M PESKIN	1CM948	BECKER & POLIAKOFF, LLP	2567-2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
43	THE WHITMAN PARTNERSHIP BERNARD WHITMAN	010449	THE WHITMAN PARTNERSHIP	1EN256	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
44	THOMAS A SHERMAN (RA)	001431	INTC & CO.	1EN378	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
45	NTC & CO. FBO MARK S FELDMAN (99304)	002634	NTC & CO.	1F01072	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
46	SONDRHA FEINBERG NORMAN FEINBERG JT WROS	001018	SONDRHA FEINBERG	1F0141	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
47	NANCY FELDMAN	0104059	NANCY FELDMAN	1F0152	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
48	SONDRHA FEINBERG NORMAN FEINBERG JT WROS	001017	SONDRHA FEINBERG	1F0189	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
49	CRESCENT ADVISORS LTD	012206	FUND SOLUTION	1FN094	PRO SE FILING	2705	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
50	RACHEL AVIV	014106	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2708	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
51	RACHEL AVIV & DAFNA HARLEV	014106	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2704	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
52	RACHEL & DORON AVIV	014106	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2732	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
53	RACHEL & DANA AVIV	014106	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2728	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
54	RACHEL AVIV & DALIT KREMER	014106	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2737	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
55	DAFFA & MICHAEL FARLEV	014107	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2731	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
56	ESTHER ZIV AV	014109	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2656	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
57	JOSEPH & REINA YECHELI	014110	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2741	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
58	YOSSI & Yael ZLB BERG	014111	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2668	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
59	ZAFIRIR & MIRA KOCHANOVSKY	014112	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2721	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
60	SHLOMO & MIRIAM ABRAHAMER	014113	FUND SOLUTION	1FN094	PRO SE FILING	2665	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
61	JEANNETTE GOLDSTEIN & RUTH KEREM	014114	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2739	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
62	JEANNETTE GOLDSTEIN & ARELLA SHREDI	014115	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2742	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
63	M.T.B.N.	014116	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2720	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
64	JOSEPH AND RUTH SHAPIRA	014117	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2716	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
65	CARE VERDE GROUP LTD.	014118	FUND SOLUTION	1FN094	PRO SE FILING	2733	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
66	STIRS INTERNATIONAL INVESTMENTS LTD.	014119	HARLEY INTERNATIONAL FUND LTD - C/O FORTIS PRIME	1FN094	PRO SE FILING	2735	7/14/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
67	CARLA GINSBURG M.D.	002414	CARLA GINSBURG M.D.	1G0220	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
68	MARTIN R. HARNICK AND STEVEN P. NORTON PARTNERS	001924	MARTIN R HARNICK	1H0052	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
69	MARTIN R HARNICK & STEVEN P NORTON PARTNERS	001925	MARTIN R HARNICK	1H0123	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
70	HOWARD ISRAEL	000500	HOWARD ISRAEL	1K0009	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
71	SAULIUS KAIOTA	001095	SAULIUS KAIOTA	1K0206	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
72	MARTIN LIFTON C/O THE LIFTON COMPANY LLC	010364	MARTIN LIFTON	1KW162	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
73	ELLE DIAMOND WALDMAN	003332	THE LAMBETH CO	1L0002	PRO SE FILING	2683	7/13/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
74	RONNIE SUE AMBROSINO	004082	RONNIE SUE AMBROSINO	1L0143	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS

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75	REALTY NEGOTIATORS INC DEFINED BENEFIT PENSION PLAN	009614	REALTY NEGOTIATORS INC	1R0956	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
76	JEFFREY SHANKMAN	0100531	JEFFREY SHANKMAN	150060	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
77	MIRK STINE	002376	MIKE STEIN	150146	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
78	NITC & CO. FBO JEFFREY SHANKMAN (111772)	002908	NITC & CO.	150277	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
79	HERBERT SILVERA	004588	HERBERT SILVERA	150415	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
80	JEFF AND KAREN HANSEN FAMILY TRUST	003506	RYE SELECT BROAD MKT FUND LP	170277	PRO SE FILING	2650	7/12/2010	DID NOT OBJECT TO TRUSTEE'S DETERMINATION
81	RICHARD H. PAUKER AND JOYCE M. PAUKER	006613	RYE SELECT BROAD MKT FUND LP	170277	PRO SE FILING	2648	7/12/2010	DID NOT OBJECT TO TRUSTEE'S DETERMINATION
82	GUNTHER UNFLAT & MARGARET UNFLAT JT WROS	000734	GUNTHER UNFLAT	1U0017	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
83	NITC & CO. FBO GUNTHER UNFLAT (40366)	000932	NITC & CO.	1Y0005	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
84	TRIANGLE PROPERTIES #39	009611	TRIANGLE PROPERTIES #39	1Y0007	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
85	ROBERT YAFFE	009613	NITC & CO.	1Y0012	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
86	ROBERT YAFFE	009612	ROBERT YAFFE	1Z0A13	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
87	LEONARD FORREST REV TRUST	000299	LEONARD FORREST REV TRUST	1Z0A20	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
88	CYNTHIA ARENSON & THEODORE ARENSON JT WROS	000241	CYNTHIA ARENSON	1Z0A30	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
89	MISHKIN FAMILY TRUST	004174	MARVIN ENGEBARDT RET PLAN	1Z0A41	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
90	MARVIN ENGEBARDT RET PLAN	000318	MARVIN ENGEBARDT RET PLAN	1Z0A43	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
91	MORREY BERKWITH KEOGH ACCT	000362	MORREY BERKWITH KEOGH ACCT	1Z0A87	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
92	SAM PREFER AND SHIRLEY PREFER AND PAMELA	000881	SAM PREFER	1Z0A96	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
93	HANNAH P NORMAN REVOCABLE TRUST DATED 4/13/92	008594	HANNAH P NORMAN	1Z0A97	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
94	GROSS ASSOCIATES C/O HERB GROSS	002972	GROSS ASSOCIATES	1Z0A98	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
95	SHIRLEY K STONE MELVIN STONE TRUSTEES SHIRLEY	000553	SHIRLEY K STONE	1Z0A99	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
96	MARVIN I PLATES OR ROBERTA PLATES JT WROS	000319	MARVIN I PLATES	1Z0A10	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
97	ALAN ENGLISH AND RITA ENGLISH JT WROS	002132	ALAN ENGLISH	1Z0A220	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
98	R R ROSENTHAL ASSOCIATES	000206	R R ROSENTHAL ASSOCIATES	1Z0A238	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
99	MILLER TRUST PARTNERSHIP	002293	MILLER TRUST PARTNERSHIP	1Z0A260	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
100	CAROL NELSON AND STANLEY NELSON JT WROS	0009480	CAROL NELSON	1Z0A284	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
101	AUICE SCHINDLER	001146	AUICE SCHINDLER	1Z0A294	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
102	ELAINE R SCHAFFER OR CARLA R HURSHHORN TTES	009540	ELAINE R SCHAFFER	1Z0A309	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
103	LESLIE GOLDSMITH	000041	LESLIE GOLDSMITH	1Z0A328	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
104	SUSAN GREER	000996	SUSAN GREER	1Z0A336	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
105	ELAINE GLODSTEIN REV TRUST DT 11/13/97	004077	ELAINE GLODSTEIN REV TRST	1Z0A415	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
106	DAVID N GLODSTEIN & SUSAN L GLODSTEIN JT WROS	005069	DAVID N GLODSTEIN	1Z0A496	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
107	WDG ASSOCIATES INC RETIREMENT TRUST	002036	WDG ASSOCIATES INC	1Z0A538	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
108	KUNTZMAN FAMILY LLC	002044	KUNTZMAN FAMILY LLC	1Z0A539	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
109	JOYCE BRILLANTE & MICHAEL BRILLANTE FAMILY	001158	JOYCE BRILLANTE	1Z0A565	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
110	HELENE SARIN-LAWRENCE	000950	HELENE SARIN-LAWRENCE	1Z0A620	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
111	TOBY HARWOOD	005666	TOBY HARWOOD	1Z0A716	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
112	BURT MOSS & ASSOCIATES INC. 401K PLAN	003775	P & S ASSOCIATES GEN PTNRSHIP	1Z0A783	SCHOEPPL & BURKE, P.A.	2570	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
113	BURT & SUSAN MOSS, TEN ENT	003783	P & S ASSOCIATES GEN PTNRSHIP	1Z0A873	SCHOEPPL & BURKE, P.A.	2570	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
114	BURT MOSS & ASSOCIATES, INC. 401K PLAN	004950	P & S ASSOCIATES GEN PTNRSHIP	1Z0A873	SCHOEPPL & BURKE, P.A.	2570	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
115	BURT & SUSAN MOSS	005343	P & S ASSOCIATES GEN PTNRSHIP	1Z0A874	SCHOEPPL & BURKE, P.A.	2570	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
116	BURTON HAROLD MOSS INDIVIDUAL RETIREMENT ACCT	003782	S & P ASSOCIATES GEN PTNRSHIP	1Z0A874	SCHOEPPL & BURKE, P.A.	2570	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
117	BURTON HAROLD MOSS INDIVIDUAL RETIREMENT ACCT	004949	S & P ASSOCIATES GEN PTNRSHIP	1Z0A874	SCHOEPPL & BURKE, P.A.	2570	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
118	THE GABA PARTNERSHIP	002307	THE GABA PARTNERSHIP	1Z0A901	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
119	STEPHEN EHRlich & LESLIE EHRlich	010630	STEPHEN EHRlich	1Z0A911	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
120	ROBERT YOGEL & BARBARA YOGEL JT WROS	001002	ROBERT YOGEL	1Z0A917	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
121	THE MILLER PARTNERSHIP	002285	THE MILLER PARTNERSHIP	1Z0A977	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
122	BRADE AVERGON & CYNTHIA BAYERON JT WROS	000264	BRADE AVERGON	1Z0A994	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS

Exhibit 3
Non-Compliant Responses

ID	Party	Claim #	Account Name	Account #	Law Firm	Docket # of Response to Customer Motion	Date of Response to Customer Motion	Reason for Non-Compliance
123	ROBERT AVERGON & JACQUELINE AVERGON JT WROS	000225	ROBERT AVERGON	12B095	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
124	CARLA SZYMANSKI REV 1ST DATED 11/29/97	013612	CARLA SZYMANSKI	12B1952	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
125	KENNETH M KOHL & MYRNA KOHL/JT WROS	000204	KENNETH M KOHL	12B286	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
126	ANNETTE JUNGREIS AND IRVING JUNGREIS TRUSTEES	005059	ANNETTE JUNGREIS	12B303	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
127	IRVING JUNGREIS AND ANNETTE JUNGREIS TRUSTEES	005057	IRVING JUNGREIS	12B304	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
128	WILLIAM I BADER	011793	WILLIAM I BADER	12B319	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
129	THE ESTELLE HARWOOD FAMILY LIMITED PARTNERSHIP	005664	THE ESTELLE HARWOOD FAMILY	12B352	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
130	THE SANFORD HARWOOD FAMILY LIMITED PARTNERSHIP	005665	THE SANFORD HARWOOD FAMILY	12B353	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
131	HENRY R BESELL TRUST U/D/T DATED 10/10/00	001497	HENRY R BESELL TRUST	12B407	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
132	WOHL GEORGE PARTNERS LP	003963	WOHL GEORGE PARTNERS LP	12B430	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
133	BERKOWITZ BLAU FOUNDATION INC	002605	BERKOWITZ BLAU FOUNDATION INC	12B453	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
134	MAUREEN ANNE EBEL	002582	MAUREEN ANNE EBEL	12B463	BECKER & POLIAKOFF, LLP	2567+2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
135	MICHAEL EPSTEIN & JOAN B EPSTEIN JT WROS	005707	MICHAEL EPSTEIN	12B471	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
136	RONALD GENIE WOHL CREDIT SHELTER TRUST	003975	RONALD GENIE WOHL	12B499	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
137	DARA NORMAN SIMONS	008608	DARA NORMAN SIMONS	12B501	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
138	NTC & CO FBO WILLIAM SMISKIN (221286)	004167	NTC & CO	12R007	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
139	NTC & CO FBO ELAINE RUTH SCHAFER 21569	009541	NTC & CO	12R013	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
140	THERESA ROSE RYAN	000814	NTC & CO	12R039	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
141	SANFORD HARWOOD (IRA)	0005661	NTC & CO	12R066	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
142	INTC & CO FBO ANNA COHN (893349)	009769	NTC & CO	12R115	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
143	INTC & CO FBO RUSSELL DUSEK (83010)	0002927	NTC & CO	12R131	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
144	INTC & CO FBO ROBERT HALIO (26849)	002007	NTC & CO	12R140	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
145	SOL GANES	011246	NTC & CO	12R173	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
146	RUSSELL L DUSEK II	002879	NTC & CO	12R222	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
147	FBO RUSSELL DUSEK III 44487	002878	NTC & CO	12R273	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
148	MAUREEN ANNE EBEL	008631	NTC & CO	12R318	BECKER & POLIAKOFF, LLP	2567+2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
149	INTC & CO FBO GRACE MISHKIN (29315)	009157	NTC & CO	12W003	BECKER & POLIAKOFF, LLP	2568	7/12/2010	DID NOT INVEST IN ONE OF 19 FEEDER FUND ACCOUNTS
150	CERTAIN OF THE CLIENTS OF AXA PRIVATE MANAGEMENT				MAYER BROWN LLP	2538	7/9/2010	EXHIBIT A TO OPPOSITION INCLUDES CLAIM FORM WITH ADDENDUM A, WHICH PURPORTS TO IDENTIFY CERTAIN CUSTOMERS OF AXA PRIVATE MANAGEMENT, BUT SUCH "AXA PM CUSTOMERS" ARE NOT IDENTIFIED
151	AARVEE LTD.				CROWELL & MORING	2580	7/12/2010	NO CLAIM FILED
152	PARSRAM DARYANI, NEELAM P. DARYANI, VIKAS P. DARYANI				CROWELL & MORING	2590	7/12/2010	NO CLAIM FILED